



Attorney Docket No.: HELLO-00308

PATENT

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Group 2700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Bruce W. Stelman

Serial No.: 09/286,249

Filed: April 5, 1999

For: **SMART INTERFACE  
TECHNOLOGY**

) Group Art Unit: 2747  
) Examiner: Saint Surin, J  
)  
) **TRANSMITTAL LETTER**  
)  
) 260 Sheridan Avenue, Suite 420  
) Palo Alto, California 94306  
) (650) 833-0160  
)  
)

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Enclosed please find an Information Disclosure Statement, Form PTO-1449, copies of the references contained thereon, and a Certification for the Supplemental Information Disclosure Statement for filing with the U.S. Patent and Trademark Office.

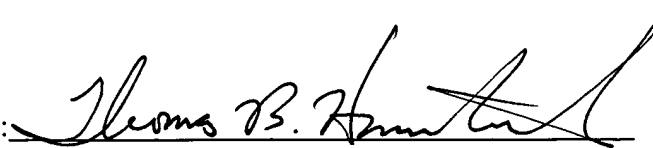
The Commissioner is authorized to charge any additional fee or credit any overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: January 14, 2000

By:

  
Thomas B. Haverstock  
Reg. No.: 32,571

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

Attorneys for Applicant

- 1 -

HAVERSTOCK & OWENS LLP

Date: 1-18-00

By: 

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) Group Art Unit: 2747

) Examiner: Saint Surin, J

) **INFORMATION DISCLOSURE  
STATEMENT**

) 260 Sheridan Avenue, Suite 420

) Palo Alto, California 94306

) (650)833-0160

JAN 31 2000

Group 2700

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

The following printed publications are referred to in the body of the specification:

- 5,206,898;
- 5,241,590;
- 5,555,300;
- 5,729,603; and
- 5,912,964.

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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- 1 -

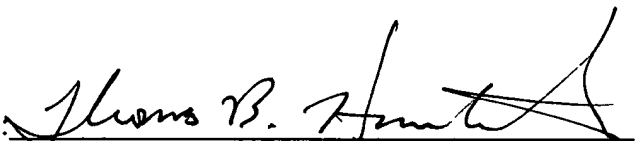
HAVERSTOCK & OWENS LLP

Date: 1.18.00 By: Graham O'Brien

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: January 14, 2000

By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicant

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) Group Art Unit: 2747

) Examiner: J. Saint Surin

) **CERTIFICATION FOR  
SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR § 1.97(e)**

) 260 Sheridan Avenue, Suite 420  
) Palo Alto, CA 94306  
) (650) 833-0160

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I hereby certify that the attached five (5) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on October 18, 1999. **Accordingly, the references contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).**

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

- 1 -

HAVERSTOCK & OWENS LLP

Date: 1.18.00 By: *Green O'Brien*

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Attorney Docket No.: HELLO-00308

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

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JAN 31 2000

Respectfully submitted,

HAVERSTOCK & OWENS

**Group 2700**

Dated: Jan 18, 2000

By: Thomas B. Haverstock

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants